

1 **The influence of climate change and uplift on Colorado Plateau**
2 **paleotemperatures from carbonate clumped-isotope thermometry**

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12 **Abstract**

13 The elevation history of Earth's surface is key to understanding the geodynamic
14 processes responsible for the rise of plateaus. We investigate the timing of Colorado
15 Plateau uplift by estimating depositional temperatures of Tertiary lake sediments that
16 blanket the plateau interior and adjacent lowlands using carbonate clumped isotope
17 paleothermometry (a measure of the temperature-dependent enrichment of ^{13}C - ^{18}O bonds
18 in carbonates). Comparison of modern and ancient samples deposited near sea level
19 provides an opportunity to quantify the influence of climate, and therefore assess the
20 contribution of changes in elevation to the variations of surface temperature on the
21 plateau. Analysis of modern lake calcite from 350-3300 m elevation in the southwestern
22 United States reveals a lake water carbonate temperature (LCT) lapse rate of
23 $4.2\pm 0.6^\circ\text{C}/\text{km}$. Analysis of Miocene deposits from 88-1900 m elevation in the Colorado
24 River drainage suggests that the ancient LCT lapse rate was $4.1\pm 0.7^\circ\text{C}/\text{km}$, and
25 temperatures were $7.7\pm 2.0^\circ\text{C}$ warmer at any one elevation than predicted by the modern
26 trend. The inferred cooling is plausible in light of Pliocene temperature estimates off the
27 coast of California, and the consistency of lapse rates through time supports the
28 interpretation that there has been little or no elevation change for any of the samples since
29 6 Ma. Together with paleorelief estimates from apatite (U-Th)/He data from Grand
30 Canyon, our results suggest most or all of the plateau's lithospheric buoyancy was
31 acquired ~ 80 -60 Ma, and do not support explanations that ascribe most plateau uplift to
32 Oligocene or younger disposal of either the Farallon or North American mantle
33 lithosphere.

34 **Index terms:** 1041, 8175, 8177, 9350, 0746; **Keywords:** Colorado plateau, plateau,
35 uplift, climate, carbonate thermometry, clumped isotopes

36 **1. Introduction**

37 Topography is a first-order expression of the buoyancy of the lithosphere, and thus
38 the timing and pattern of elevation change can provide fundamental constraints on
39 problems in continental dynamics. Topography also strongly influences circulation of the
40 atmosphere and global climate (e.g., Manabe and Terpstra, 1974; Ruddiman and
41 Kutzbach, 1989; Molnar and England, 1990; Molnar et al., 1993). Although technological
42 advances allow us to measure modern elevation with unprecedented precision,
43 paleoelevation remains difficult to reconstruct from the geologic record. For many of the
44 most frequently used proxies for paleoelevation, this difficulty arises because changes in
45 climate and changes in elevation can have the same effect on the proxy.

46 The most commonly applied techniques for reconstructing paleoelevation are based
47 on paleobotany or the stable isotopic record of meteoric and surface waters preserved in
48 authigenic and pedogenic minerals (e.g., Forest et al., 1999; Chamberlain and Poage,
49 2000). Plant assemblages and leaf physiognomy vary with the combination of
50 temperature, aridity, and enthalpy, from which elevation may be inferred (Axelrod, 1966;
51 Gregory and Chase, 1992; Wolfe et al., 1997; Forest et al., 1999). Meteoric and surface
52 waters generally decrease in $\delta^{18}\text{O}$ and δD with increasing altitude. However, isotopic
53 gradients also depend on aridity, temperature, and seasonality of precipitation (e.g.,
54 Dansgaard, 1964; Garzzone et al., 2000; Rowley and Garzzone, 2007), and temperature
55 decrease accompanying uplift dampens isotopic evidence of elevation change recorded
56 by carbonates on uplifted topographic features (Poage and Chamberlain, 2001). Given the

57 many factors that contribute to the character of flora and isotopic signals preserved in the
58 geologic record, the accuracy of resulting paleoelevation estimates is often difficult to
59 assess. The new carbonate clumped isotope paleothermometer (Ghosh et al., 2006a; Eiler,
60 2007) provides independent constraints on both the temperature and isotopic composition
61 of ancient surface waters, offering a potentially powerful approach to reconstruct past
62 elevations (Ghosh et al., 2006b; Quade et al., 2007).

63 In this paper, we investigate the timing of Colorado Plateau uplift by comparing
64 measurements of both modern and ancient depositional temperatures of lake sediments
65 that blanket the plateau interior and adjacent lowlands. To our knowledge, this is the first
66 comprehensive analysis of how recorded carbonate clumped isotope temperatures vary
67 with elevation in modern lakes. In addition, we compare modern and ancient samples
68 deposited near sea level in order to quantify the influence of climate change on observed
69 temperature signals.

70

71 **2. Tectonic setting of the Colorado plateau and previous paleoaltimetry**

72 **2.1. Mechanisms driving plateau uplift and existing paleoelevation constraints**

73 The Colorado plateau is a 2 km-high, roughly 337,000 km² physiographic region
74 bounded by the Rocky Mountains, Rio Grande Rift, and Basin and Range provinces in
75 the southwestern United States (Fig. 1). A wide variety of geodynamic hypotheses for
76 uplift have been advanced wherein the timing of uplift is among the most testable
77 predictions (e.g., McGetchin et al., 1980; Morgan and Swanberg, 1985). A summary of
78 mechanisms broadly ascribes them to three categories (Roy et al., 2005): (1) Late

79 Cretaceous to Early Tertiary uplift related to Sevier and Laramide contractile deformation
80 from 80 to ~40 Ma, adding buoyancy by thickening of the crust, thinning of the upper
81 mantle, or the introduction of volatiles to the upper mantle (e.g., Bird, 1979; McQuarrie
82 and Chase, 2000; Humphreys et al., 2003); (2) mid-Tertiary uplift related to the demise of
83 a Laramide flat slab, where buoyancy is added to the upper mantle by mechanical
84 thinning or chemical modification of the lithosphere (Spencer, 1996; Roy et al., 2005);
85 and (3) Late Tertiary ‘epeirogenic’ uplift associated with regional extensional tectonism,
86 either by convective removal of lithosphere or heating from below (e.g., Bird, 1979;
87 Thompson and Zoback, 1979; Humphreys, 1995; Parsons and McCarthy, 1995; Jones et
88 al., 2004; Zandt et al., 2004). Quantitative constraints on the timing of uplift therefore
89 have the potential to falsify one or more of these hypotheses.

90 Previous paleoaltimetry work in the western US has focused on the Rocky Mountains
91 and Basin and Range provinces, with a dearth of estimates from the Colorado Plateau.
92 Estimates based on paleobotany suggest that regional surface elevations of the western
93 US were high in Late Eocene time (e.g., Wolfe et al., 1998; Gregory and Chase, 1992).
94 Stable isotope data generally support the idea of high elevation in the Sierra Nevada and
95 Rocky Mountains throughout the Tertiary period (e.g., Chamberlain and Poage, 2000;
96 Dettman and Lohmann, 2000; Poage and Chamberlain, 2002; Horton et al., 2004; Horton
97 and Chamberlain, 2006; Mulch et al., 2006, 2007, 2008).

98 An exception to this overall picture, which to our knowledge includes the only
99 published paleoaltimetry data from the plateau proper, comes from basalt vesicle studies
100 that suggest a general acceleration of uplift in Late Tertiary time (Sahagian et al., 2002).
101 These data indicate as much as 1100 m of uplift of the southern part of the plateau since

102 just 2 Ma, although this conclusion is controversial (Libarkin and Chase, 2003; Sahagian
103 et al., 2003). In contrast, along the southwestern margin of the plateau, ca. 1200 m of
104 relief observed within Laramide paleochannels indicates at least that amount of elevation
105 above sea level in early Tertiary time (Young, 2001). Roughly 60 km to the northwest in
106 the plateau interior, (U-Th)/He data suggest that a ‘proto-Grand Canyon’ with kilometer-
107 scale relief had incised post-Paleozoic strata in earliest Tertiary time (Flowers et al.,
108 2008).

109 **2.2. Geology and relevant sedimentary deposits**

110 The Colorado Plateau (Fig. 1) lies in the foreland of the Cordilleran orogen in the
111 southwestern United States, and has experienced relatively little tectonism in Phanerozoic
112 time. In contrast, neighboring regions suffered profound deformation during Late
113 Paleozoic ‘Ancestral Rockies’ orogenesis, the Late Cretaceous/Early Tertiary Sevier and
114 Laramide orogenies, and Late Tertiary extension in the Basin and Range province and
115 Rio Grande rift. Today, this tectonically stable physiographic region is drained by the
116 Colorado River from its headwaters in the Rocky Mountains southwestward to the Gulf
117 of California (Fig. 1). In this paper, we designate the ‘upper basin’ of the Colorado River
118 as the high elevation portion of the drainage, which is largely confined to the Colorado
119 Plateau. We refer to the portion of the drainage within the lowlands to the southwest of
120 the plateau, in the Basin and Range province, as the ‘lower basin,’ comprising the Lake
121 Mead area and the Colorado River trough along the Arizona-California border.

122 The beginning and end points of the Colorado Plateau’s uplift are well known: the
123 region remained near sea level until at least the late Campanian in Utah (ca. 70 Ma) and
124 the Turonian in Arizona (ca. 90 Ma), and has been uplifted to a present average elevation

125 of 1900 m. Constraints on the elevation of the plateau surface in the interval between
126 these endpoints are sparse and controversial, prompting over a century of debate
127 regarding how uplift of this deeply incised region was achieved without significant
128 internal deformation of the upper crust (e.g., Pederson et al., 2002; Poulson and John,
129 2003).

130 The sedimentary record in the region provides a broad sampling of ages and positions
131 of carbonate-bearing strata within the modern Colorado River basin and provides
132 important constraints on erosion, tilting, and drainage adjustment on the plateau since
133 Cretaceous time (Fig 1). Colorado River incision has exposed Proterozoic basement and
134 overlying stratified rocks, capped by flat-lying Paleozoic to Mesozoic platform sediments
135 (Beus and Billingsley, 1989; Hintze, 1993) that record slow subsidence and deposition
136 during the platform's 500 My residence near sea level (Hunt, 1956). Marine deposits in
137 Arizona and Utah record the encroachment of the Cretaceous interior seaway, which
138 covered most of the plateau (Nations, 1989). Paleogene deposits along the western,
139 northern, and eastern flanks of the plateau are up to several thousand meters thick (e.g.,
140 Hintze, 1993). Age-equivalent strata known as the 'Rim gravels' are preserved along the
141 southwestern margin of the plateau, recording Early Tertiary unroofing and
142 northeastward fluvial transport away from Laramide uplands to the southwest (Young,
143 1989; Potochnik, 1989; 2001). In some exposures, Rim Gravels are preserved within
144 deeply incised paleocanyons of the western Grand Canyon region, along with the ca. 45-
145 55 Ma fluvio-lacustrine Westwater Formation (Young, 1999).

146 Immediately southwest of the plateau, a broad region of Precambrian crystalline rocks
147 is overlain unconformably by either Late Cretaceous or mid- to Late Tertiary volcanic

148 and sedimentary strata (e.g. Hunt, 1956; Potochnik, 2001). Sevier- and Laramide-age
149 (40-80 Ma) deposits around the northern and eastern perimeter of the plateau are overlain
150 by extensive tracts of Oligocene to Recent volcanic rocks, while toward the center of the
151 plateau they are intruded by small, isolated mid-Tertiary plutons. Along the plateau's
152 southwest margin, Oligocene and younger volcanic and sedimentary deposits record a
153 reversal of drainage from the northeast-flowing streams draining Laramide uplands. After
154 ca. 20 Ma, southwest-flowing drainage was established, presumably induced by mid-
155 Tertiary crustal extension and resulting loss of elevation within the former Laramide
156 uplands relative to the plateau (Peirce et al., 1979; Young, 1989; Elston and Young,
157 1991).

158 Within the upper basin of the Colorado River drainage in northeastern Arizona, the
159 Miocene Bidahochi Formation (Fig. 1) presently resides at a relatively uniform elevation
160 of 1900 m above sea level, about the average elevation of the modern plateau. The thin,
161 flat-lying deposits of the Bidahochi Formation record as much as 200 m of fluvial and
162 lacustrine aggradation in a large internally-drained basin that at maximum extent may
163 have been greater than 30,000 km² in area (Repenning and Irwin, 1954; Love, 1989;
164 Dallegge et al., 2001; Gross et al., 2001). Isolated fossils and ⁴⁰Ar/³⁹Ar dating of volcanic
165 ash beds derived from the Bidahochi basin and surrounding area indicate that
166 sedimentation initiated at ~16 Ma and occurred episodically until 6 Ma (Dallegge, 1999;
167 Gross et al., 2001). Outcrops of the Bidahochi Formation lie within 100 km to the east of
168 the region of Early Tertiary high relief (eastern Grand Canyon) described by Flowers et
169 al. (2008). As the Bidahochi Formation has never been substantially buried or deformed
170 and covers a large region of the southern plateau interior, determining its paleoelevation

171 would provide an important constraint on the uplift history, testing various hypotheses for
172 the origin of the uplift.

173 Within the lower basin, upper Miocene limestones currently at elevations ranging
174 from 88 to 646 m were deposited in a chain of lakes that ultimately linked together to
175 form the modern Colorado River between 5 and 6 Ma (Spencer and Patchett, 1997;
176 Spencer et al., 2008a; House et al., 2008). Immediately west of the plateau where the
177 Colorado River enters Lake Mead, lacustrine sedimentation occurred both before and
178 after a major pulse of mid-Miocene extension, including the Rainbow Gardens Member
179 of the Horse Spring Formation (24 to 16 Ma), and the Hualapai Limestone (11 to 6 Ma),
180 respectively (Fig. 1) (Faulds et al., 2001; Spencer et al., 2001; Lamb et al., 2005).

181 Further to the south in the modern Colorado River trough, discontinuous exposures of
182 the upper Miocene to lower Pliocene Bouse Formation record lacustrine aggradation in
183 lakes developed just prior to the integration of the upper and lower basins (Fig. 1). The
184 southernmost of these basins, the Blythe basin, was about 100 km wide at its maximum
185 fill level, and contains abundant marine fossils (e.g., McDougall, 2008). Some workers
186 have suggested these fossils were introduced into a wholly lacustrine setting by avian
187 transport (Dillon and Ehlig, 1993; Spencer and Patchett, 1997; Spencer et al., 2008b).
188 Whether the basin is lacustrine, marine or estuarine, it was likely near sea level and
189 relatively close to an ocean at 5-6 Ma. The contemporaneous Imperial Formation records
190 progradation of the Colorado River delta into the opening Gulf of California and Salton
191 trough during early rifting and displacement along the San Andreas Fault (Johnson et al.,
192 1983; Winker, 1987; Kerr and Kidwell, 1991). Detritus originating from the upper basin
193 first appears in the Imperial Formation at 5.3 Ma (Dorsey et al., 2007).

194

195 **3. Paleoaltimetry reconstructions from carbonate clumped isotope thermometry**

196 **3.1. Estimating temperature and $\delta^{18}\text{O}$ of water from ^{13}C - ^{18}O bond enrichment in**
197 **carbonate**

198 Carbonate clumped isotope thermometry constrains carbonate growth temperatures
199 based on the temperature-dependent ‘clumping’ of ^{13}C and ^{18}O into bonds with each
200 other in the solid carbonate phase alone, independent of the $\delta^{18}\text{O}$ of the waters from
201 which the mineral grew (e.g., Schauble et al., 2006; Eiler, 2007). The ^{13}C - ^{18}O bond
202 enrichment relative to the ‘stochastic’, or random, distribution of all C and O isotopes
203 among all possible isotopologues can be determined by digesting a carbonate mineral in
204 phosphoric acid and measuring the $\delta^{18}\text{O}$, $\delta^{13}\text{C}$, and abundance of mass-47 isotopologues
205 (mostly $^{13}\text{C}^{18}\text{O}^{16}\text{O}$) in product CO_2 . This enrichment, termed the Δ_{47} value, varies with
206 carbonate growth temperature by the relation $\Delta_{47} = 59200/T^2 - 0.02$, where Δ_{47} is in units
207 of per mil and T is temperature in Kelvin (Ghosh et al., 2006a).

208 Previous stable isotope paleoaltimetry studies have used the $\delta^{18}\text{O}$ and δD values of
209 authigenic or metamorphic minerals to obtain information on past surface temperatures
210 and surface waters, and thereby infer the paleoelevation of Earth’s surface (e.g.,
211 Chamberlain and Poage, 2000). However, the $\delta^{18}\text{O}$ of carbonate depends on both its
212 formation temperature and the $\delta^{18}\text{O}$ of water from which it grew (i.e., through the
213 temperature-dependent carbonate/water fractionation; e.g., Kim and O’Neil, 1997). Thus,
214 this conventional approach amounts to solving for two unknowns (T and $\delta^{18}\text{O}$ of water)
215 with a single constraint ($\delta^{18}\text{O}$ of carbonate). Carbonate clumped isotope thermometry

216 directly constrains both temperature and $\delta^{18}\text{O}$ of carbonate independently. From these
217 values, the $\delta^{18}\text{O}$ of water from which carbonate grew can be calculated. Because both
218 temperature and the $\delta^{18}\text{O}$ of water can vary strongly with elevation, this approach can
219 provide two independent constraints on paleoelevation (Ghosh et al., 2006b; Quade et al.,
220 2007).

221 **3.2. Use of temperature lapse rates to infer paleoelevation**

222 The $\delta^{18}\text{O}$ values of surface waters reflect surface and groundwater transport and
223 evaporation in addition to the $\delta^{18}\text{O}$ of precipitation. As evaporation of water leads to ^{18}O
224 enrichment in the residual liquid, the $\delta^{18}\text{O}$ values of surface waters do not correlate well
225 with elevation in arid regions like the southwestern US (e.g., Rowley and Garzione,
226 2007). Rather, in the Colorado River drainage surface water $\delta^{18}\text{O}$ values plot below the
227 global meteoric water line along an evaporation trend (Guay et al., 2004).

228 In contrast, modern air temperatures in this region do vary strongly with altitude.
229 The rate of decrease of temperature with elevation based on mean annual air temperatures
230 measured near the ground surface (MAT lapse rate) varies between 6.8 and 8.1°C/km
231 throughout the Colorado plateau region in Colorado, Arizona, New Mexico, and Utah
232 (Meyer, 1992). Based on this modern signal, we might expect environmental
233 temperatures recorded by geologic materials formed at Earth's surface to be good
234 indicators of relative elevation in the past. Estimates of MAT from paleoflora have been
235 the basis for previous paleoelevation reconstructions (e.g., Wolfe and Hopkins, 1967;
236 Mosbrugger, 1999). In order to avoid complications arising from latitudinal variations in
237 lapse rate, seasonality, and climate change, previous studies have calculated

238 paleoelevation differences from comparisons of MAT estimates from materials deposited
239 at the same latitude and time (e.g., Axelrod, 1966). Here we use an analogous approach to
240 infer paleoelevation based on temperature estimates from clumped isotope thermometry
241 in the Colorado Plateau region. Such an approach is potentially advantageous because
242 while changes in flora reflect changes in climatic variables such as aridity as well as
243 changes in temperature, clumped isotope thermometry is sensitive to temperature alone.

244 **3.3. Sampling strategy for application to the Colorado Plateau**

245 Samples were collected with three goals in mind: (1) to evaluate what forms of
246 terrestrial carbonate preserve a high-fidelity record of primary surface water temperature
247 and $\delta^{18}\text{O}$; (2) to characterize spatial and temporal changes in temperature in the Colorado
248 Plateau region; and (3) to develop a framework for reconstructing paleoelevation from
249 ancient temperatures based on the correlation between temperature and elevation
250 recorded by modern samples. While our primary target was the Bidahochi Formation
251 (Section 2.3), complementary ancient and modern samples provide critical context for
252 interpretation of the Bidahochi Formation results.

253 The 21 ancient samples we examined consist of diverse materials including
254 gastropods, the bivalve *anomia*, oysters, barnacles, soil, marl, tufa, and limestone from
255 Cretaceous to Pliocene deposits from and adjacent to the plateau (Table 1, Fig. 1).
256 Clumped isotope data for two of these samples, 95I23 and 95I24, were previously
257 reported in an analytical methods paper by Huntington et al. (2009), although their
258 geologic significance was not discussed. In some cases it was possible to sample several
259 different kinds of carbonate from the same paleoenvironment in order to evaluate
260 variability in the temperature signal (e.g., Horse Spring Formation samples, Table 1). We

261 selected samples for which independent observations (e.g., petrographic analysis,
262 geologic evidence, or Sr/Ca values, cf. Spencer and Patchett, 1997) suggested the
263 carbonate was primary. These include Bidahochi Formation, Bouse Formation, and
264 Hualapai Formation samples from the collection of J. Patchett for which previous
265 geochemical analyses were reported in Gross et al. (2001) and Spencer and Patchett
266 (1997). For the remaining samples, primary material was selected on the basis of
267 petrographic analysis. We sampled multiple stratigraphic levels within the same unit to
268 investigate temporal shifts in temperature, and also sampled units of the same
269 depositional age found at different modern elevations. Based on clumped isotope
270 analysis of 50 aliquots from the 21 ancient samples (Table 1), we determined what
271 materials likely record depositional conditions. We then collected similar materials from
272 modern environments in 9 localities to establish the relationship between temperature
273 recorded by clumped isotope thermometry, modern water and air temperature, and
274 modern elevation (Table 2, Fig. 1).

275

276 **4. Analytical methods**

277 Carbonate powders were collected from fresh interior surfaces of the samples using
278 a microdrill or razor and then ground gently using a mortar and pestle. The isotopic
279 composition of CO₂ produced by acid digestion of the resulting powders was measured
280 by dual-inlet isotope ratio gas source mass spectrometry at the California Institute of
281 Technology. CO₂ was produced by anhydrous phosphoric acid digestion of ~8 mg of
282 carbonate powder from each sample at 25°C for 12-24 hours using a McCrea-type
283 reaction vessel (McCrea, 1950; Swart, 1991). Product CO₂ was isolated and purified by

284 conventional cryogenic procedures using the glass vacuum apparatus described by Ghosh
285 et al. (2006a). Even ppb-level contaminants can lead to significant apparent changes in
286 Δ_{47} . Thus additional measures were taken to purify samples, namely, sample CO₂ was
287 entrained in He carrier gas flowing at a rate of 3 ml/min and passed through an Agilent
288 Tech 6890N gas chromatograph (GC) column (Supel-Q-PLOT column with 530 μ m
289 internal diameter, 30 m long) held at -10°C, and collected for 40 minutes. After
290 evacuation of the He carrier gas, conventional cryogenic procedures were repeated twice
291 to purify the sample before condensation into an evacuated glass vessel for transfer to the
292 mass spectrometer.

293 Isotopic analysis of CO₂ was performed on a Finnigan MAT 253 mass spectrometer
294 configured to measure masses 44-49 after the methods of Eiler and Schauble (2004).
295 Each analysis required 3 to 4 hours of mass spectrometer time to achieve precisions of
296 10⁻⁶ (thousandths of per mil) in Δ_{47} , and multiple replicate analyses of each sample were
297 performed to reduce temperature uncertainties to as good as ± 1 -2°C (1 se) (e.g.,
298 Huntington et al., 2009). As a consequence, sample throughput was limited, requiring 1
299 to 2 days of analysis for a single temperature determination (compared to the ~80-100
300 conventional stable isotopic measurements of $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ that can be performed per
301 day using an automated device (e.g., de Groot, 2009)). Values for $\delta^{13}\text{C}$ reported vs.
302 VPDB and $\delta^{18}\text{O}$ reported vs. VSMOW were calculated using the program Isodat 2.0 and
303 standardized by comparison with CO₂ evolved from phosphoric acid digestion of the
304 NBS-19 carbonate standard distributed by the International Atomic Energy Agency.
305 Measurements of Δ_{47} were made using the methods of Eiler and Schauble (2004) and
306 changes in sample preparation of Affek and Eiler (2006). Values of Δ_{47} were calculated

307 based on raw measurements of R^{45} , R^{46} , and R^{47} , where R^i is the abundance of mass i
308 relative to the abundance of mass 44, using the methods of Affek and Eiler (2006) and
309 Wang et al. (2004). Values of Δ_{47} were normalized using measurements of CO_2 heated to
310 achieve the stochastic distribution of isotopologues and errors were propagated as
311 detailed by Huntington et al. (2009). Measurements of Δ_{48} for the samples were used to
312 screen for contaminants such as sulfur, hydrocarbons, and organics, through comparison
313 of Δ_{48} for clean heated CO_2 (Eiler and Schauble, 2004; Guo and Eiler, 2007; Huntington
314 et al., 2009). Stable isotopic results ($\delta^{13}\text{C}$, $\delta^{18}\text{O}$, and Δ_{47}) for ancient samples are
315 summarized in Table 1 and Figure 2. Results for modern samples are summarized in
316 Table 2 and Figures 2 and 3. Isotopic results are presented in full in the online auxiliary
317 materials, including measurements of Δ_{48} .

318

319 **5. Carbonate growth temperature and O isotopic results**

320 **5.1. Cretaceous to Pliocene carbonates**

321 Carbonate clumped-isotope temperatures and $\delta^{18}\text{O}$ values for various kinds of
322 carbonates from Cretaceous to Pliocene deposits from paleoenvironments presently
323 exposed at elevations from sea level to 1900 m are reported in Table 1 and Fig. 2. The
324 abundances of ^{13}C - ^{18}O bonds in these materials correspond to temperatures between 22.1
325 and 70.4°C, and the average precision in temperature estimates for independent replicates
326 of the same sample is $\pm 2.3^\circ\text{C}$ (1se). Bulk isotopic compositions of these carbonates
327 range from -9.2 to +1.7‰ for $\delta^{13}\text{C}_{\text{PDB}}$ and -14.5 to -4.4‰ for $\delta^{18}\text{O}_{\text{PDB}}$, with typical

328 uncertainties of $\pm 0.1\%$ (1se). Calculated values of $\delta^{18}\text{O}_{\text{smow}}$ for water in equilibrium with
329 carbonate range from -12.5 to +1.2‰.

330 Whereas samples at the lower end of the observed temperature range could
331 represent crystallization at or near Earth surface conditions and thus constrain
332 paleoelevation and climate, samples yielding temperatures in excess of $\sim 33^\circ\text{C}$ likely
333 record carbonate re-crystallization and replacement during diagenesis and/or burial
334 metamorphism ('resetting'). Some of the temperatures in excess of plausible near-surface
335 conditions occur in carbonates that also have anomalously high $\delta^{18}\text{O}$ values (Fig. 2). The
336 most easily interpreted of these reset materials are gastropod fossils from the Rim
337 Gravels in which original aragonite is completely replaced by calcite ($70.4 \pm 3.0^\circ\text{C}$). The
338 Rim Gravel samples likely experienced reheating due to nearby emplacement of a
339 Miocene basalt flow (Young, 1999). More cryptic resetting is observed in a suite of
340 Pliocene molluscs from tidal flat facies of the Imperial Formation ($\sim 39^\circ\text{C}$), and limestone
341 from the Westwater Formation ($47.1 \pm 3.5^\circ\text{C}$). Although the $\delta^{18}\text{O}$ values of the Imperial
342 Formation samples do not indicate resetting *a priori*, temperatures $6\text{--}8^\circ\text{C}$ in excess of the
343 reasonable range for mollusk shell precipitation and reproduction indicate that resetting
344 has taken place. The Westwater Formation sample's stratigraphic location, elevated
345 temperature, and elevated $\delta^{18}\text{O}$ value are consistent with resetting during burial.

346 Most samples that we interpret to be unreset (i.e., because they yield temperatures
347 within the plausible Earth-surface range and show no evidence of alteration) are fine-
348 grained micrites. Other unreset samples included soil carbonates, barnacles, and tufa.
349 Although we have no reason to suspect on the basis of textural or other evidence that
350 these samples were reset, it is nevertheless possible that resetting took place, shifting

351 temperatures by a few degrees but not to values outside of the range of Earth surface
352 conditions. We are not aware of a way to disprove this possibility; however, we note that
353 a correlation of temperatures for samples of a given age range with altitude would not be
354 expected to result from diagenetic resetting.

355 Carbonates from the mid-Miocene to Pliocene Bidahochi, Bouse, and Hualapai
356 Formations all record temperatures within the range plausible for carbonate growth at the
357 Earth's surface during spring to summer and oxygen isotopic compositions consistent
358 with them having grown from waters similar in $\delta^{18}\text{O}$ to modern surface waters in the
359 Colorado River drainage (Fig. 2; Guay et al., 2004). Bidahochi Formation tufas and
360 marls from modern elevations of 1806 to 1989 m in the upper basin of the Colorado
361 River record depositional temperatures over the narrow range of 22.1-24.9°C, with no
362 systematic difference in temperature in samples from 16 Ma and 6 Ma deposits.
363 Assuming the scatter in ages is Gaussian, the weighted mean temperature is 23.5±1.0°C.
364 The range of depositional temperatures recorded by lacustrine carbonates from the Bouse
365 and Hualapai Formations cropping out at modern elevations of 88 to 646 m in the lower
366 basin of the Colorado River is much greater, 22.1-32.1°C. The large range in
367 temperatures results primarily from the two lowest recorded temperatures, 22.1±3.8°C
368 and 24.7±1.1°C. These samples were obtained from lower Bouse carbonates from the
369 southernmost section, which is currently only 100 m above sea level. A third sample
370 from the same location, located at the bottom of the section, yielded a warmer
371 temperature of 30.5°C. This temperature, plus the remaining five analyses from the
372 lower basin all overlap within one standard error, ranging from 29.0 to 32.1°C.

373 **5.2. Modern carbonates**

374 To enable direct comparison of modern and ancient lake carbonates, we collected
375 and analyzed materials similar to the ancient samples from modern lakes from 350-3300
376 m elevation in the southwestern United States (Fig. 1). Growth temperatures and bulk
377 isotopic compositions of these materials, which include core-top sediments and tufa, are
378 summarized in Table 2 and Figures 2 and 3. Of 27 analyses of diverse carbonates
379 collected from 9 modern localities, analysis of materials from 3 of the 9 samples (7 of 27
380 analyses) showed evidence of contamination from hydrocarbons or organics (i.e., high
381 Δ_{48}), and had to be rejected. A variety of purification methods in addition to the standard
382 cryogenic and GC techniques were attempted to remove the contaminants (e.g., hydrogen
383 peroxide), but none was completely successful. Temperatures for the uncontaminated
384 samples ranged from 9.6-22.7°C, with average precision of $\pm 2.6^\circ\text{C}$. Higher variability
385 was observed among analyses of different aliquots of sediment collected from the top 0.5
386 to 1.5 cm of lake cores, reflecting inhomogeneity of the samples. Isotopic values for the
387 carbonates range from -9.3 to 7.1‰ for $\delta^{13}\text{C}_{\text{PDB}}$ and -16.9 to -1.8‰ for $\delta^{18}\text{O}_{\text{PDB}}$. Values
388 of $\delta^{18}\text{O}_{\text{smow}}$ for water in equilibrium with carbonate span a large range from -17.5 to -
389 1.3‰, consistent with the large range of values observed for modern surface waters in the
390 Colorado River drainage (e.g., Guay et al., 2006). The correlation between temperature
391 measured from clumped isotopes and modern lake elevation is excellent ($r=0.97$), with
392 temperature values typical of spring and early summer surface waters (Fig. 3).

393 **5.3. Trends in O isotopic values vs. elevation and distance inland**

394 The temperature differences observed for the modern and ancient carbonates
395 correspond to differences in the $\delta^{18}\text{O}$ of water from which they grew (Table 1, Fig. 2).
396 The $\delta^{18}\text{O}$ values of water in equilibrium with the modern carbonates ($n=6$) are correlated

397 with elevation ($r=0.55$) and with distance from the coast ($r=0.61$) (Fig. 3b, 4). The $\delta^{18}\text{O}$
398 values of the waters from which the unreset ancient samples ($n=13$) grew also are weakly
399 correlated with modern elevation ($r=0.34$), broadly consistent with the notion that the
400 ancient samples record depositional temperatures and have not been reset. The modern
401 and ancient data are broadly consistent with one another when plotted vs. distance inland
402 or vs. elevation (Fig. 4). When taken together, their $\delta^{18}\text{O}$ values of water in equilibrium
403 with the carbonates exhibit an isotopic lapse rate of 3‰ per 1 km of elevation, with a
404 correlation coefficient r of 0.70 (Fig. 4b). The $\delta^{18}\text{O}$ of water values for the ancient
405 samples are more highly correlated with inferred distance from the coast at the time of
406 deposition ($r=0.55$, Fig. 4a) than with modern elevation, with the southernmost Bouse
407 (Cibola area) samples plotting slightly below oceanic $\delta^{18}\text{O}$ values. The combined modern
408 and ancient carbonate O isotopic data reveal a decreasing trend of 0.9‰ per 100 km of
409 distance inland from the coast at the time of deposition ($r=0.77$, Fig. 4a), consistent with
410 the notion that data for mid-Miocene to modern carbonates generally follow the same
411 trend.

412

413 **6. Discussion**

414 **6.1. Depositional temperatures of terrestrial carbonates: what worked and what did** 415 **not**

416 Clumped isotope thermometry of Tertiary carbonates from and adjacent to the
417 Colorado Plateau reveals that many terrestrial carbonates record reasonable depositional
418 temperatures and $\delta^{18}\text{O}$ values, provided they were never deeply buried. Although we do

419 not know of a way to disprove the possibility that subtle resetting (i.e., by a few degrees)
420 has taken place in the samples we have interpreted as primary, measured temperature and
421 elevation are correlated, suggesting that depositional temperatures have been recorded. In
422 most cases, independent information (e.g., anomalously high $\delta^{18}\text{O}$, geologic evidence of
423 burial substantially greater than 100 m, nearby volcanism, or non-primary mineralogy)
424 also indicated that samples with measured temperatures in excess of plausible surface
425 temperatures must have been reset. Although fossils are tempting targets for carbonate
426 clumped isotope thermometry because of their relation to modern taxa with known habits
427 and because fossil assemblages can provide tight age constraints, our results are
428 consistent with the findings of Came et al. (2007) suggesting that they are highly
429 vulnerable to resetting. In contrast, fine-grained micrites and tufa consistently yield
430 temperatures within the plausible Earth-surface range.

431 **6.2. Temperature versus elevation trends**

432 **6.2.1. Modern samples**

433 Carbonate growth temperatures for the modern samples correlate strongly with elevation
434 ($r=0.97$), defining a lacustrine carbonate temperature (LCT) lapse rate of $4.2^\circ\text{C}/\text{km}$ with a
435 zero-elevation intercept of 24.4°C (Fig. 3a). In contrast, we observe a significantly
436 weaker correlation between $\delta^{18}\text{O}$ measured for modern lake carbonates and elevation
437 ($r=0.55$; Fig. 3b, 4). The weaker correlation between $\delta^{18}\text{O}$ and elevation may reflect
438 variations in evaporative enrichment or hydrology of the sampled lakes, which do not
439 vary systematically with altitude, but nonetheless impact the $\delta^{18}\text{O}$ of water.

440 The LCT measurements are comparable to modern lake water temperatures in the
441 Colorado plateau region. A compilation of modern temperature observations for surface
442 waters in Arizona yields lacustrine surface water temperature (LST) lapse rates of 4.8 and
443 5.8°C/km for winter and summer months, respectively, although considerable scatter is
444 observed (Fig. 5a). The LCT curve falls between the winter and summer curves, which
445 have zero-elevation intercepts at roughly 18 and 30°C, respectively (Fig. 3a). The LCT
446 curve never exceeds the maximum summer temperatures observed for a subset of well-
447 monitored lakes and reservoirs at elevations from sea level to 2550 m in the plateau and
448 environs (Fig. 3a, 6b).

449 The observed LCT lapse rate is indistinguishable from the moist adiabat for the
450 atmosphere (85% relative humidity, Fig. 3a), but less than the LST lapse rates for winter
451 and summer months and the lapse rate defined by lake surface maximum temperatures
452 (5.6°C/km; Fig. 5b). Lapse rates based on lake water temperatures in turn are less than
453 the MAT (Meyer, 1992) and representative monthly air temperature lapse rates for the
454 region of 6.8 to 8.1°C/km (Fig. 6). The slopes of the LCT and LST trends are not as
455 steep as air temperature lapse rates, presumably due to the greater direct influence of the
456 atmosphere on the temperatures of surface waters in stratified lakes.

457 The position of the LCT trend between the more steeply sloping LST winter and
458 summer curves is most likely due to the timing, depth, and temperature of calcium
459 carbonate saturation in lakes. During cold months lake water temperatures vary little
460 with depth (Fig. 7a). As surface waters warm in spring and summer, a stable thermocline
461 develops, suppressing mixing between warm, buoyant near-surface waters (epilimnion)
462 and cold, dense bottom waters (Fig. 7a). In the spring and summer, evaporation is

463 enhanced and carbonate solubility is depressed in the epilimnion, causing growth of
464 microcrystalline carbonate (whiting events) to occur (e.g., Duston et al., 1986; Effler et
465 al., 1987). Warm water, abundant sunlight, and nutrients also promote algae growth in the
466 upper few meters, which enhances supersaturation by increasing pH and provides
467 nucleation sites – both of which promote carbonate precipitation (Stunm and Morgan,
468 1981). Thus carbonate growth temperatures should reflect spring to summer near-surface
469 temperatures, with little sensitivity to lake depth because carbonate production primarily
470 occurs within the epilimnion. A comparison of our modern Lake Mead carbonate growth
471 temperatures and detailed water temperature records supports this hypothesis (Fig. 7b)
472 and provides empirical evidence that growth temperatures of lacustrine carbonates
473 measured using carbonate clumped isotope thermometry reflect lake water temperatures
474 that are strongly correlated with elevation. However, it is important to note that as a first
475 step towards characterizing the modern LCT lapse rate we analyzed only one sample
476 from each locality, and as a consequence we do not have enough data to identify probable
477 Holocene variability in lacustrine carbonate temperatures.

478 **6.2.2. Ancient Samples**

479 Our primary goal was to determine paleoelevation of the ~16-6 Ma Bidahochi
480 Formation to constrain the uplift history of the southern Colorado Plateau. The recorded
481 Bidahochi Formation temperatures near ~24°C do not vary within the error of the
482 measurements. The results imply that elevation changes of more than a few hundred
483 meters, or climate variation of more than 3°C did not occur during deposition from 16 to
484 6 Ma, presuming that larger changes in both elevation and climate did not conspire to
485 keep the temperatures relatively constant.

486 If the modern carbonate temperature versus elevation measurements were to apply
487 to Middle and Late Miocene time, the temperature data would imply that the Bidahochi
488 Formation was deposited at about 400 m elevation, near the modern elevation of Lake
489 Mead (Fig. 3a), indicating 1400 m of uplift since 6 Ma. This estimate does not, however,
490 account for climate change, in particular the likelihood that the Miocene climate was
491 much warmer than the interglacial climates typical of the Quaternary. An important role
492 for climate is suggested by the lower basin samples. Although the scatter in lower basin
493 temperature estimates is large (10°C), their average temperature is 4-5°C warmer than the
494 modern LCT curve (Fig. 3a).

495 As noted in Section 5.1, the scatter in lower basin temperatures is primarily the
496 result of the two lowest elevation samples. The remaining six estimates have a weighted
497 average of $30.7 \pm 1.2^\circ\text{C}$, forming a cluster that is as tight as the Bidahochi Formation
498 estimates (Fig. 8). These samples thus record temperatures that on average are about 7°C
499 warmer than the modern LCT curve. However, other than their being anomalously cool,
500 there is no basis to exclude the two low temperature measurements from the lower basin
501 data. Possible explanations that do not exclude any of the data are discussed in the
502 following paragraphs.

503 One hypothesis is that the four samples from the southernmost exposures of the
504 Bouse Formation, all of which are currently only ca. 100 m above sea level, form a
505 Gaussian population of measurements whose mean is the actual depositional temperature.
506 If so the weighted average would be 27.3°C , about 4°C cooler than the weighted average
507 of the remaining four lower basin samples, which today reside at elevations of 535 to 646
508 m. This temperature difference would imply substantial relative uplift of the northern

509 sections from an initial position hundreds of meters below seal level. Given that the
510 lower basin lakes were integrated into a throughgoing Colorado River drainage system
511 soon after they formed (Poulson and John, 2003), and the rarity of tectonically inactive
512 terrestrial basins lying below sea level, this hypothesis is highly unlikely.

513 A second possibility is that the upstream samples are systematically reset and do
514 not record depositional temperatures. There is no basis in the textures or oxygen isotope
515 data to support this hypothesis, and Spencer and Patchett (1997) and Poulson and John
516 (2003) noted that geologic evidence for diagenesis of Bouse carbonates is rare. Further, it
517 would require resetting of six samples collected from four localities spanning a 300 km-
518 long reach of the lower basin to within a few degrees of each other. Although a
519 possibility, we note that the large scatter in recorded temperatures of samples in units
520 with evidence for resetting (e.g., Imperial Formation, 39°C, Westwater Formation, 47°C,
521 Rim gravels, 70°C) also suggests it is unlikely.

522 A third possible explanation is that the cooler samples reflect the cooling of lake
523 surface waters owing to either the influence of a marine climate or perhaps estuarine
524 mixing. The pattern of decreasing ^{18}O of water values for the ancient samples vs.
525 distance from the coast at the time of deposition supports this hypothesis. The $\delta^{18}\text{O}$ of
526 water is correlated with inland distance ($r=0.55$), generally becoming more depleted in
527 ^{18}O presumably due to the continentality effect (Dansgaard, 1964). As noted in section
528 5.1, the two cool samples were obtained from the southernmost exposures of Bouse strata
529 in the Blythe sub-basin (Cibola area). The O isotopic values for the waters from which
530 the Cibola samples precipitated plot slightly below oceanic $\delta^{18}\text{O}$ values (Fig. 4a),
531 suggesting a supply of precipitation from air masses that just left the ocean.

532 Even if the Bouse in this area is non-marine, the abundant marine fossils it contains
533 also indicate that deposition likely occurred proximal to an ocean (Spencer and Patchett,
534 1997). Upper Miocene strata of unambiguous marine origin occur in boreholes in the
535 Yuma area about 50 km southeast of the Cibola samples, and marine waters may have
536 been as close as 15 km from the sampled area (data reviewed in Spencer and Patchett,
537 1997; Spencer et al., 2008a). Restoration of the Peninsular Ranges tectonic block 250 km
538 southeastward along the southern San Andreas fault system since 6.5 Ma (Oskin and
539 Stock, 2003) juxtaposes upper Miocene marine strata of Pacific affinity in the Los
540 Angeles basin region to within a few tens of kilometers of the southern margin of the
541 Blythe sub-basin. This juxtaposition resulted in a hydrographic interconnection between
542 the Los Angeles basin and the lower Colorado River drainage near 5 Ma, as demonstrated
543 by distinctive fish species that are common to the two areas (Spencer et al., 2008b).
544 Although the details of the paleogeography are not well constrained, collectively these
545 data indicate that the lowermost Bouse basin was proximal to the open waters of the
546 western Pacific.

547 Such proximity to an ocean may have afforded substantial spring and summer
548 cooling along the southern margin of the lake or estuary. Water at Earth's surface and in
549 the atmosphere has a strong moderating effect on climate, depressing air temperatures
550 near the coast relative to inland areas during warm months. For example, inland areas
551 near sea level (e.g., Blythe, California) have late spring and summer temperatures on
552 average 5°C warmer than along the coast of the Gulf of California (e.g., Puerto Peñasco,
553 Mexico, Fig. 9). An even more pronounced effect is observed for areas that are
554 influenced by the relatively cold Pacific Ocean. Relative to Blythe, July air temperatures

555 at Riverside, which is 60 km from the Pacific coast, are about 10°C cooler, and July
556 temperatures at Ensenada, which is on the coast, are 15°C cooler (Fig. 9). Such an air
557 temperature effect could explain the cooler Cibola sample temperatures.

558 Although we cannot rule out the possibility that the variation in temperature of the
559 southernmost Bouse samples is related to unmodeled errors (e.g., due to seasonal rainfall
560 patterns or the hypsometry of the lacustrine catchment), we suggest that the variation
561 records the influence of varying microclimates associated with proximity to the Pacific
562 Ocean during deposition. In this interpretation, the warmest Cibola sample (i.e., 95BS10,
563 collected immediately below the two cool samples at the same locality, which yielded a
564 temperature of 30.5°C) would be most representative of the LCT zero-elevation intercept,
565 particularly given its similarity to lower basin temperatures recorded hundreds of
566 kilometres inland from any potential influence of a marine climate. Excluding the two
567 cool Bouse samples (unfilled circles, Figure 8), least squares linear regression through
568 both upper and lower basin data plotted as a function of modern elevation yields a LCT
569 lapse rate of 4.1°C/km with a zero-elevation intercept of 32.1°C.

570

571 **6.3. Relative contribution of uplift and climate change to depositional temperatures**

572 According to the interpretation presented above, the slope of the ancient LCT
573 versus modern elevation trend is nearly identical to the modern LCT lapse rate of
574 4.2°C/km, suggesting that little if any change in elevation of the Bidahochi Formation is
575 required to explain the data. The zero-elevation intercept of the ancient trend is

576 7.7±2.0°C (1σ) warmer than the modern trend, so this interpretation requires significant
577 cooling due to climate change since Late Miocene time.

578 The magnitude of cooling since early Pliocene time indicated by the carbonate data
579 is large, but plausible in light of other available paleotemperature proxy data. Although
580 quantitative estimates of terrestrial paleotemperatures in the study area for this period are
581 sparse, global climate in the Miocene is generally regarded to be several degrees warmer
582 than today on the basis of stable isotopic records from benthic taxa in deep-sea sediments
583 (e.g., Zachos, 2001). These records may indicate up to 5°C of cooling of deep ocean
584 waters since the Miocene-Pliocene transition (Fig. 2 in Zachos et al., 2001). Sea surface
585 temperature (SST) records based on planktonic assemblages from the California margin
586 (Dowsett and Poore, 2000) suggest that mean annual paleotemperatures off the coast of
587 western North America were even warmer, indicating 7°C of cooling since Pliocene time.
588 This large-magnitude temperature anomaly is corroborated by alkenone-based SST
589 estimates from the same region (Dekens et al., 2007). Even if the magnitude of SST
590 change off the coast of western North America was smaller than indicated by these
591 studies, the magnitude of the SST anomaly might have been magnified in arid inland
592 regions. As Fig. 9 suggests, amplification of temperature variations in the arid
593 continental interior may be especially pronounced during warm months, when carbonate
594 precipitation in lakes is enhanced.

595 If the offset between lake water temperatures estimated from modern and Miocene-
596 Pliocene carbonates represents climatic cooling, in order for our data to be internally
597 consistent it must be possible for large MAT changes to occur without significantly
598 affecting the lapse rate. General circulation models of the atmosphere indicate that such

599 changes in MAT should have little effect on low-latitude lapse rates (Rind, 1986). Hence
600 previous workers have applied modern lapse rates to paleoelevation reconstructions
601 extending back as far as Eocene time in the southwestern United States (e.g., Gregory
602 and McIntosh, 1996). Modern temperature records for the Colorado plateau region also
603 suggest this approach is reasonable. As shown in Figure 6, seasonal variability in
604 average monthly air temperature highs recorded by Arizona weather stations from 1971
605 to 2000 is greater than 20°C – far in excess of the inferred magnitude of cooling since 6
606 Ma. Yet the lapse rate varies by less than 1°C throughout the year, providing strong
607 evidence that even large MAT variations due to climate change would not cause
608 significant changes in lapse rate. Given the likely stability of the MAT lapse rate, we
609 presume that both the LST and LCT lapse rates were similar to that of today.

610 Atmospheric lapse rates in the lower few kilometers of the troposphere are
611 primarily sensitive to latitude and moisture content of the atmosphere (e.g., Schneider,
612 2007). The lowest MAT lapse rates observed on Earth today, on the order of 3-4°C/km,
613 are generally characteristic of humid, tropical regions (Meyer, 1986; Figure 3.1 of
614 Schneider, 2007). Thus by analogy with modern climates, the ~6-8°C/km MAT lapse
615 rates observed for the southwestern United States (Meyer, 1992) could have been a factor
616 of two lower during the Miocene, if either the latitude or the relative humidity of the
617 Colorado Plateau region at that time were substantially different from today. However,
618 the average polar wander path for southwestern North America shows little latitude
619 change since middle Miocene time (Gripp and Gordon, 2002). Moreover, widespread
620 deposition of evaporites in middle and late Miocene time in the southwestern United
621 States (e.g., Faulds et al., 2001) and other paleoenvironmental indicators (Cather et al.,

622 2008) suggest that the southwestern United States has generally been arid to semi-arid
623 since Oligocene time – further pointing to long-term stability of the MAT lapse rate.

624 Given these observations, and our inference of marine influence on lake surface
625 temperatures in the southernmost part of the Bouse basin, the recorded temperatures
626 support the “null hypothesis” of little or no elevation change of the southern interior of
627 the Colorado Plateau since 16 Ma, with $7.7 \pm 2.0^\circ\text{C}$ cooling in MAT of the southwestern
628 interior since 6 Ma. The uncertainty in the modern LCT lapse rate from the data in
629 Figure 3 is $\pm 0.6^\circ\text{C}/\text{km}$ (York, 1969). If we assume that the intercept of the lapse rate
630 curve shifts 7.7°C , a 15% error in the LCT lapse rate, and a zero-elevation intercept for
631 the ancient carbonate trend of $32.1 \pm 0.8^\circ\text{C}$, would be permissive of as much as 450 m of
632 uplift of the plateau interior (Fig. 8), or a Miocene elevation of ca. 1450 m for the
633 Bidahochi basin. However, the data are equally consistent with 250 m of subsidence of
634 the plateau since 6 Ma. The data thus permit a few hundred meters of elevation change
635 of the southern plateau since 6 Ma, but do not support kilometer-scale changes (Fig. 10).

636

637 **7. Conclusions**

638 Our results bear on several important issues pertaining to the application of
639 clumped isotope thermometry to problems in landscape evolution, and on paleoclimate
640 and the tectonic evolution of the Colorado Plateau. Firstly, Δ_{47} analysis of modern lake
641 carbonates from 350-3300 m above sea level in the southwestern US yields temperature
642 estimates that are consistent with depositional conditions in the bodies of water from
643 which they were collected, which are strongly elevation dependent. Although extensive

644 additional limnological, conventional stable isotope, and clumped isotope work is needed
645 to characterize Holocene variability in LCT lapse rates, this result based on our
646 preliminary dataset suggests that ancient terrestrial carbonates also may record elevation-
647 dependent depositional temperatures and therefore provide a robust paleoaltimetry proxy.
648 Analysis of Tertiary carbonates from the Colorado Plateau region reveals that a wide
649 variety of terrestrial carbonates record reasonable depositional temperatures and $\delta^{18}\text{O}$
650 values, provided they were never deeply buried. Although fossils appear to be
651 susceptible to resetting, careful screening can help identify primary material for analysis
652 (Came et al., 2007).

653 The results also underscore the importance of accounting for climate change when
654 making estimates of paleoelevation with this technique. In particular, an accurate
655 estimate of the contemporaneous zero-elevation intercept of the LCT trend is crucial to
656 demonstrating any changes (or lack thereof) in elevation of inland regions. Our results
657 suggest that the zero-elevation intercept may be difficult to measure, especially in
658 situations where the only deposits demonstrably near sea level are either marine or
659 proximal to an ocean. In this study, the consistency of temperatures recorded in the
660 lower Colorado River basin samples, and the preservation of low-elevation deposits well
661 inland from any potential marine influence, was critical to estimating the paleoelevation
662 of the Bidahochi deposits. Nevertheless, future work involving a complete
663 characterization of modern LCT variability and comparison of modern and ancient
664 lacustrine systems including the Bouse depositional environment (e.g., Spencer and
665 Patchett, 1997; Poulson and John, 2003) are needed to evaluate this interpretation.

666 In addition to the zero-elevation intercept, estimating the LCT lapse rate is also
667 important. We determined the slope for modern deposits and inferred that the lapse rate
668 in the past was similar to that of today. This interpretation appears reasonable, given the
669 lack of major changes in latitude and general aridity of the region since Oligocene time.
670 Although globally MAT lapse rates vary by nearly a factor of two, it is not yet clear
671 whether the same is true of the LCT lapse rates, which depend on a complex combination
672 of factors including air temperature, local hydrology, seasonality of precipitation,
673 seasonal stratification in lakes, and carbonate saturation conditions that vary with season
674 and water depth. The degree to which MAT lapse rates influence the LCT lapse rate will
675 require an inventory of modern LCT lapse rates that sample a range of latitudes and
676 atmospheric moisture levels.

677 The primary implication of this study for the elevation history of the Colorado
678 plateau is that the results are consistent with the suggestion of Flowers et al. (2008) that
679 the eastern Grand Canyon region had kilometer-scale local topographic relief similar to
680 that of today from about 65 to 20 Ma, which requires a minimum elevation of upland
681 areas in excess of this amount. Our data and those of Flowers et al. (2008) are permissive
682 of up to several hundred meters of Late Tertiary uplift. However, they do not require it,
683 and also are consistent with the hypothesis of several hundred meters of Late Tertiary
684 subsidence of the southern plateau. Both datasets are inconsistent with an uplift estimate
685 of 1100 m based on vesicular basalt paleoaltimetry on the 2 Ma Springerville basalt,
686 which unconformably overlies the southern portion of the Bidahochi basin near the
687 southern rim of the plateau (Sahagian et al., 2002, 2003).

688 If we have interpreted the data correctly, then most of the uplift of the south-central
689 portion of the Colorado Plateau occurred during Late Cretaceous/earliest Tertiary time
690 (Fig. 10), favoring uplift mechanisms such as crustal thickening by lateral flow of deep
691 crust (McQuarrie and Chase, 2000), hydration of the mantle lithosphere due to volatile
692 flux from a newly-arrived Laramide flat slab (Humphreys et al., 2003), or dynamic
693 topography associated with slab foundering (Liu and Gurnis, 2008). We are careful to
694 point out that our estimate of paleoelevation may not apply to the northern part of the
695 plateau. Unlike the study region, the northern and western part of the plateau was a
696 major lacustrine depocenter in Paleocene through middle Eocene time, accumulating
697 some 1000 to 3000 m of sediment (e.g., Hintze, 1988). Assessment of whether this
698 depocenter was a lowland near sea level surrounded by 2000+ m Laramide uplands, or a
699 high interior basin only slightly lower than the Laramide uplands, must await
700 paleoelevation studies of these deposits. Whatever the origin of Laramide uplift, the data
701 do not support explanations that ascribe most plateau uplift to late Eocene or younger (ca.
702 40 to 0 Ma) disposal of either Farallon or North American mantle lithosphere. Although
703 such events may have affected lithospheric buoyancy, they appear not to have been as
704 significant as Late Cretaceous/earliest Tertiary events.

705

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713 assistance in the field.

714

714 **Figure and Table Captions**

715 **Table 1.** Summary of clumped isotope thermometry and stable isotopic results for
716 ancient carbonates (see online auxiliary materials for complete results). Imperial, Bouse
717 and Hualapai samples were collected by J. Spencer and J. Patchett, who reported Sr
718 isotope results for samples 95I23, 96BS1, 95BS17, 96BS25, 96HU2, 96HU5 in Spencer
719 and Patchett (1997). Huntington et al. (2009) reported clumped isotope thermometry data
720 for 95I23 and 95I24, but did not comment on their significance. Results for Bouse
721 Formation samples 95BS1 and 95BS12 not shown in summary table because all
722 replicates (n=5) exhibited evidence of contamination (i.e., high Δ_{48}). Sr isotopic results
723 for Bidahochi samples were reported previously by Gross et al. (2001). Unit age
724 constraints come from the following sources: *Imperial Formation*, Ingle (1973, 1974),
725 and Winterer (1975); *Bouse Formation*, Johnson et al. (1983), Busing and Baratan
726 (1993), and Winker and Kidwell (1986); *Hualapai Limestone*, Spencer et al. (2001),
727 Wallace et al. (2005); *Bidahochi Formation*, Dallegge (1999), Gross et al. (2001) and
728 references therein; *Rainbow Gardens*, Beard (1996), Lamb et al. (2005); *Westwater*
729 *Formation*, Young (1999); *Rim Gravels*, (Holm, 2001).

730 **Table 2.** Summary of clumped isotope thermometry and stable isotopic results for
731 modern lake carbonates. Core top sediment samples obtained from L. Anderson (USGS,
732 Denver). Results for Deep Springs (DS, 1498 m), Owens Lake (OW, 1147 m), Walker
733 Lake (WA, 1190 m) exhibited evidence of contamination (i.e., high Δ_{48}), and are not
734 included in summary table.

735 **Figure 1.** (a) Satellite image of western United States showing carbonate sample
736 locations in relation to map extent of Colorado Plateau (tan shaded region). Inset shows
737 relation of Colorado Plateau to state boundaries and neighboring tectonic provinces. The
738 areas shaded green and blue delimit the extent of land containing discontinuous outcrops
739 of the Bidahochi and Bouse Formations, respectively. Labels for modern lake carbonate
740 samples correspond to abbreviations listed in Table 2. (b) Relative elevations of sampled
741 units are shown projected onto a schematic longitudinal profile of Colorado River.
742 Locations of faults (sub-vertical thick grey lines) are from Karlstrom et al. (2007). The
743 inferred position of the Imperial Formation (tidal flat facies) indicates deposition at sea
744 level near present mouth of the Colorado River into the Gulf of California.

745 **Figure 2.** Temperature estimates from clumped isotope thermometry vs. $\delta^{18}\text{O}$ of water in
746 equilibrium with the carbonate, for modern and ancient samples listed in Tables 1 and 2.
747 The $\delta^{18}\text{O}$ of water was calculated from measured $\delta^{18}\text{O}$ of carbonate and temperature from
748 Δ_{47} , using the carbonate-water fractionation factor of Kim and O'Neil (1997).

749 **Figure 3.** (a) Comparison of mid-latitude semi-arid lake surface water temperatures,
750 modeled moist adiabat, and temperature estimates from modern carbonate sediments
751 precipitated in lake waters as a function of elevation. Black squares represent clumped
752 isotope thermometry results for modern lake carbonates listed in Table 2, with 1σ errors.
753 Samples ME, BE, EM, and SG were collected within the modern Colorado River
754 drainage. Solid line indicates best-fit York (1969) error-weighted linear least-squares
755 regression through the temperature-elevation data. Best-fit water surface temperature
756 curves (grey) are given by regressions through the data shown in Fig. 4. Dashed black
757 line indicates modeled 'moist adiabat' lapse rate for 85% relative humidity (Schneider,

758 2007), for reference. (b) Open circles indicate $\delta^{18}\text{O}$ of carbonate for the samples shown in
759 (a) vs. elevation. Black circles indicate $\delta^{18}\text{O}$ of the water in equilibrium with the
760 carbonate vs. sample elevation.

761 **Figure 4.** O isotope results for modern and ancient carbonates vs. elevation and inland
762 distance. (a) $\delta^{18}\text{O}$ of the water in equilibrium with the carbonate vs. distance inland at the
763 time of deposition. Closest linear distance inland is plotted for modern samples. For the
764 ancient carbonates, the Cibola samples were taken to be 15 km from the coast at the time
765 of deposition. Distance inland for the other ancient carbonates was measured relative to
766 the Cibola samples. Marine water plots at 0‰. (b) $\delta^{18}\text{O}$ of the water in equilibrium with
767 the carbonate vs. modern elevation above sea level of the deposit. Modern carbonate data
768 are also plotted in Fig. 3b. In (a) and (b), the dashed lines indicate the simple best-fit
769 linear regression through the modern and ancient data. The Imperial Formation samples
770 are plotted for reference.

771 **Figure 5.** Lake surface water temperature (LST) measurements made between 1979 and
772 2007 for Colorado plateau area surface waters compiled from US Geological Survey
773 Water Resources Data (<http://waterdata.usgs.gov>) (a) Surface water temperature
774 measurements for lakes, ponds, and reservoirs in Arizona vs. elevation above sea level,
775 binned according to season in which measurement was made (summer months: black
776 circles; winter months: open squares). Dashed and dash-dot lines indicate LST lapse
777 rates based on simple linear regression through data for summer and winter months,
778 respectively. (b) Maximum surface water temperature observed between 1979 and 2007
779 for well-monitored water bodies in the Colorado plateau region, where n indicates the
780 number of temperature observations for each water body.

781 **Figure 6.** Air temperature lapse rates based on average of monthly air temperature highs
782 recorded at 24 Arizona weather stations from 341 to 2441 m elevation above sea level
783 between 1971 and 2000, compiled from the Desert Research Institute's Western Regional
784 Climate Center data (<http://www.wrcc.dri.edu>) (a) Monthly average temperatures for
785 January through June, with simple best-fit linear regression. (b) Monthly average
786 temperatures for July through December, with simple best-fit linear regression.

787 **Figure 7.** Lake Mead water temperature data compiled from US Geological Survey
788 Water Resources Data (<http://waterdata.usgs.gov>). (a) Water temperature vs. depth
789 profiles indicated by month during which observations were made. (b) Water temperature
790 vs. month during which observations were made. The measured clumped isotope
791 temperature of modern carbonate precipitated from Lake Mead (ME, Table 2) indicated
792 on the figure is consistent with carbonate precipitation during spring/summer months
793 (May to October), from near-surface lake waters.

794 **Figure 8.** Carbonate clumped isotope thermometry temperature estimates vs. modern
795 elevation for samples collected in the Colorado River basin. Data points marked by
796 unfilled circles are interpreted to reflect cooling of lake surface temperatures by a marine
797 climate; horizontal arrow indicates magnitude of post-6 Ma uplift of Bidahochi samples
798 assuming minimal zero-elevation intercept of the LCT trend.

799 **Figure 9.** Mean monthly temperature curves for four cities in southwestern North
800 America from the National Climatic Data Center (WeatherbaseSM), showing the climatic
801 influence of proximity to the marine waters of the Gulf of California (Puerto Peñasco)
802 and Pacific Ocean (Riverside and Ensenada).

803 **Figure 10.** Plot showing elevation history of the southern interior of the Colorado
804 Plateau based on the age of marine deposition (Nations, 1989), local relief inferred from
805 (U-Th)/He dating (Flowers et al., 2008), and lake elevation of the Bidahochi Formation
806 (this study).

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